

DOCKET NO.: MSFT-0262/155698.01

PATENT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## In Re Application of:

Marco A. DeMello, Attila Narin,

Christopher Robert Richard Madonna

Confirmation No.: 1999

Application No.: 09/839,784

Group Art Unit: 2191

Filing Date: April 20, 2001

Examiner: Zhen, Wei Y

For: **SERVER CONTROLLED BRANDING OF CLIENT SOFTWARE  
DEPLOYED OVER COMPUTER NETWORKS**

DATE OF DEPOSIT: November 22, 2005

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REGISTRATION NO.: 46,221

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified

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application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☒ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **28, 29, 40 thru 44, 55 thru 59, 70, 71, 82, 83, 105 thru 107** listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for

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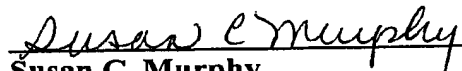
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which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

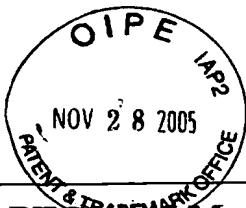
Date: November 22, 2005

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>MSFT-0262/155698.01</b>	Application No. <b>09/839,784</b>
	Applicant <b>Marco A. DeMello, Attila Narin, Christopher Robert Richard Madonna</b>	
	Filing Date <b>April 20, 2001</b>	Group <b>2191</b>
	Confirmation No. <b>1999</b>	

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	20	6,415,270 B1	07/02/02	Rackson, et al.	705	37
	21	6,195,667 B1	02/27/01	Duga, et al.	715	513
	22	6,324,288 B1	11/27/01	Hoffman, Jeffrey D.	380	249
	23	6,331,865 B1	12/18/01	Sachs, et al.	345	776
	24	5,530,235	06/25/96	Stefik, et al.	235	492
	25	5,625,693	04/29/97	Rohatgi, et al.	380	23
	26	6,199,053 B1	03/06/01	Herbert, et al.	705	76
	27	2001/0011238 A1	08/0201	Ebergard, et al.	705	27

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	28	WO 98/44402	10/08/98	PCT		
	29	WO 99/45491	09/10/99	PCT		

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	30	2002/0002611 A1	01/03/02	Vange, Mark	709	223
	31	5,809,145	09/15/98	Slik, et al.	380	25
	32	5,864,620	01/26/99	Pettitt	380	4
	33	6,088,717	07/11/00	Reed, et al.	709	201
	34	6,226,618 B1	05/01/01	Downs, et al.	705	1
	35	6,385,596 B1	05/07/02	Wiser, et al.	705	51
	36	6,425,017 B1	07/23/02	Dievendorff, et al.	709	315
	37	6,606,604 B1	08/12/03	Dutta, Rabindranath	705	26
	38	6,629,138 B1	09/30/03	Lambert, et al.	709	224
	39	6,718,361 B1	04/04	Basani, et al.	709	201

### FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	40	EP 0 795 809 A2	09/17/97	EPO		
	41	WO 00/08909	02/24/00	PCT		
	42	WO 00/21239	04/13/00	PCT		
	43	WO 00/75760 A1	12/14/00	PCT		
	44	WO 99/63416	12/09/99	PCT		

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	<b>45</b>	5,509,070	04/16/96	Schull	380	4	
	<b>46</b>	5,708,780	01/13/98	Levergood, et al.	395	200.12	
	<b>47</b>	5,724,425	03/03/98	Chang, et al.	380	25	
	<b>48</b>	5,883,955	03/16/99	Ronning	380	4	
	<b>49</b>	5,933,498	08/03/99	Schneck, et al.	380	4	
	<b>50</b>	5,970,475	10/19/99	Barnes, et al.	705	27	
	<b>51</b>	5,999,622	12/07/99	Yasukawa, et al.	380	4	
	<b>52</b>	6,006,332	12/21/99	Rabne, et al.	713	201	
	<b>53</b>	6,021,492	02/01/00	May, Gregory J.	713	200	
	<b>54</b>	6,298,446 B1	10/02/01	Schreiber, et al.	713	201	
<b>FOREIGN PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>		<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Translation</b>		
					<b>YES</b>	<b>NO</b>	
	<b>55</b>	EP 0 778 512 A2	06/11/97	EPO			
	<b>56</b>	EP 0 843 449 A2	05/20/98	EPO			
	<b>57</b>	WO 96/42041	12/27/96	PCT			
	<b>58</b>	WO 98/45768	10/15/98	PCT			
	<b>59</b>	WO 98/58306	12/23/98	PCT			
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	<b>60</b>	4,924,378	05/08/90	Hershey, et al.	713	201	
	<b>61</b>	5,222,134	06/22/93	Waite, et al.	380	4	
	<b>62</b>	5,734,823	03/31/98	Saigh, et al.	395	200.06	
	<b>63</b>	5,734,891	03/31/98	Saigh	395	610	
	<b>64</b>	5,784,609	07/21/98	Kurihara, A.	707	9	
	<b>65</b>	5,983,273	11/09/99	White, et al.	709	229	
	<b>66</b>	5,991,402	11/23/99	Jia, et al.	705	59	
	<b>67</b>	6,029,046	02/22/00	Khan, et al.	725	31	
	<b>68</b>	6,035,403	03/07/00	Subbiah, et al.	713	201	
	<b>69</b>	6,067,582	05/23/00	Smith, et al.	710	5	
<b>FOREIGN PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>		<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Translation</b>		
					<b>YES</b>	<b>NO</b>	
	<b>70</b>	WO 96/24092	08/08/96	PCT			
	<b>71</b>	WO 99/55055	12/28/99	PCT			
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	<b>72</b>	2002/0069265 A1	06/06/02	Bountour, et al.	709	219	
	<b>73</b>	5,654,746	08/05/97	McMullan, Jr. et al.	725	29	
	<b>74</b>	5,666,411	09/09/97	McCarty, Johnnie C	705	51	
	<b>75</b>	5,742,677	04/21/98	Pinder, et al.	380	242	
	<b>76</b>	5,359,659	10/25/94	Rosenthal	380	4	
	<b>77</b>	5,991,399	11/23/99	Graunke, et al.	380	4	
	<b>78</b>	6,049,612	04/11/00	Fiedler, et al.	380	44	
	<b>79</b>	4,668,169	08/18/87	Joshi, Bhagirath S.	364	200	
	<b>80</b>	6,449,645 B1	09/10/02	Nash, Kenneth L	709	224	
	<b>81</b>	5,765,152	06/09/98	Erickson, J.S.	707	9	
<b>FOREIGN PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>		<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Translation</b>		
					<b>YES</b>	<b>NO</b>	
	<b>82</b>	WO 99/01815	01/14/99	PCT			
	<b>83</b>	WO 99/26123	05/27/99	PCT			
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	84	4,405,829	09/20/83	Rivest, et al.	178	22.1
	85	4,827,508	05/02/89	Shear	380	4
	86	4,977,594	12/11/90	Shear	380	4
	87	5,050,213	09/17/91	Shear	380	25
	88	5,191,573	03/02/93	Hair	369	84
	89	5,410,598	04/25/95	Shear	380	4
	90	5,629,980	05/13/97	Stefik, et al.	380	4
	91	5,634,012	05/27/97	Stefik, et al.	395	239
	92	5,638,443	06/10/97	Stefik, et al.	380	4
	93	5,675,734	10/07/97	Hair	395	200.01

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Examiner Initial		Document No.	Date	Name	Class	Subclass	
	<b>94</b>	5,715,403	02/03/98	Stefik	395	244	
	<b>95</b>	5,845,281	12/01/98	Benson, et al.	707	9	
	<b>96</b>	5,892,900	04/06/99	Ginter, et al.	395	186	
	<b>97</b>	5,910,987	06/08/99	Ginter, et al.	380	24	
	<b>98</b>	5,915,019	06/22/99	Ginter, et al.	380	4	
	<b>99</b>	5,917,912	06/29/99	Ginter, et al.	380	24	
	<b>100</b>	5,920,861	07/06/99	Hall, et al.	707	9	
	<b>101</b>	5,940,504	08/17/99	Griswold	380	4	
	<b>102</b>	5,943,422	08/24/99	Van Wie, et al.	380	9	
	<b>103</b>	5,949,876	09/07/99	Ginter, et al.	380	4	
	<b>104</b>	5,982,891	11/09/99	Ginter, et al.	380	4	
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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	<b>105</b>	Shamir, A. et al., "Playing Hide and Seek with Stored Keys", <i>Third International Conference, 1999</i> , Franklin, M. (ed), 118-124	
	<b>106</b>	Auchsmith, D., "Tamper Resistant Software: An Implementation", <i>First International Workshop</i> , Anderson, Ross (ed., ) 1996, 317-333	
	<b>107</b>	Jaeger, T., "Flexible Control of Downloaded Executable Content", <i>ACM Transactions on Information and System Security</i> , 1999, 2(2), 177-228	
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